

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 00,659-A)

In the Applica	ation of:	<i>ie</i> )	
	Shuqian Jing	)	Before the Examiner:
Serial No.	09/995,515	)	,
Filed:	November 28, 2001	) )	Group Art Unit: 1645
	forming Growth Factor-Beta-Related	) )	
Molec	ules and Uses Thereof	)	RECEIVED
TRANSMITTAL LETTER		MAR 0 5 2000	
Commissioner for Patents			TECH CENTER 1600/2900

Sir:

Washington, D.C. 20231

In regard to the above-identified application:

- 1. We are transmitting herewith the attached
  - a. Preliminary Amendment
  - b. Return Receipt Postcard
- 2. With respect to additional fees:
  - a. No additional fee is required.
- 3. Please charge any deficiency in the amount due, or credit any overpayment, to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on February 14, 2002.

Bv:

Donald L. Zuhn

Reg. No. 48,710

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 00,659-A)

In	the	App	lication	of:
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Shuqian Jing

Serial No.

09/995,515

Filed:

November 28, 2001

For:

Transforming Growth Factor-Beta-Related

Molecules and Uses Thereof

Before the Examiner:

Group Art Unit: 1645

### TRANSMITTAL LETTER

Box MISSING PARTS Commissioner for Patents Washington, D.C. 20231

Sir:

In regard to the above-identified application:

- 1. We are transmitting herewith the attached
  - a. Response to Notice to File Missing Parts of Application
  - b. Executed copy of Oath or Declaration
  - c. Return Receipt Postcard
- 2. With respect to additional fees:
  - a. An additional fee of \$130.00 is required.
- 3. A check in the amount of \$130.00 is enclosed.
- 4. Please charge any deficiency in the amount due, or credit any overpayment, to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 5. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Box MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on February 14, 2002.

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Donald L. Zuhn Reg. No. 48,710

#### **PATENT**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 00,659-A)

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	Shuqian Jing	FEB 2 7 2002	)	Before the Examiner:		
Serial No.	09/995,515	THE THE PARTY OF T	)	Group Art Unit: 1645		
Filed:	November 28, 2001	EMARK	)	Group The Office To 13		
For: Trans	forming Growth Factor	r-Beta-Related	)	O <sub>O</sub> O <sub>S</sub> ,		
Molecules and Uses Thereof			)	ORIGINALLY PAPERS		
				TILEDS		
RESPONSE TO NOTICE TO FILE MISSING PARTS						
MAILED DECEMBER 28, 2001						

Box MISSING PARTS Commissioner for Patents Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts, mailed December 28, 2001, Applicants submit herewith an executed copy of their Declaration, pursuant to 37 C.F.R. §§1.63 and 1.68.

A check in the amount of \$130.00 accompanies this Response.

Respectfully submitted,

McDonnell Boehnen Hulbert & Berghoff

Date: February 14, 2002

By:

Donald L. Zuhn

Reg. No. 48,710











## United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/995,515

11/28/2001

Shuqian Jing

00,659-A

**CONFIRMATION NO. 1848** 

Date Mailed: 12/28/2001

FORMALITIES LETTER

\*OC000000007237791\*

Donald L. Zuhn McDonnell Boehnen Hulbert & Berghoff 32nd Floor 300 S. Wacker Drive Chicago, IL 60606



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/05/2002 SMINASS1 00000068 09995515

01 FC:105

130.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY OF PAPERS ORIGINALLY FILED

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The following item(s) appear to have been **omitted** from the application:

- Figure(s) 1a,1b described in the specification.
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.
- II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.
- III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR**





**1.136(a) r (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE